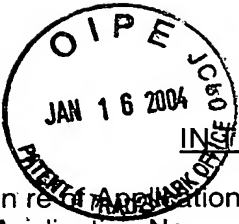


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: G15320-P3
Patent

TECH CENTER 1600/2900

JAN 23 2004

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In re: Application of: Laura CARTER, et al
 Application No.: 10/264,634 Group Art No.: 1646
 Filed: October 4, 2002 Examiner: Not Yet Assigned
 For: METHODS AND COMPOSITIONS FOR MODULATING
 INTERLEKIN-21 RECEPTOR ACTIVITY
 Confirmation No.: 7468
 Customer Number: 25291

Commissioner for Patents
 PO Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Enclosed please find the following documents for the above-identified application:

1. Supplemental Information Disclosure Statement 3 pgs. w/copy;
2. Reference (International Search Report received on PCT/US02/29839); and
3. Postcard.

CERTIFICATE OF MAILING 37 CFR §1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV238504710US addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

1/16/04
 Date

Kerry A. D'Ovidio
 Kerry A. D'Ovidio

☐ Please charge Deposit Account No. 07-1060 for:

The Commissioner is hereby authorized to charge any additional fees required by this paper, including the enclosed documents, and during the entire pendency of this application and to credit any excess amounts paid to Deposit Account No. 07-1060. A copy of this letter is enclosed for use by the Deposit Account Branch.

Respectfully submitted,

Diana M. Collazo

Diana M. Collazo
 Attorney for Applicants
 Reg. No. 46,635

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 Patent Law Department
 Five Giralda Farms
 Madison, NJ 07940
 Tel. No. (617) 665-8775

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

1. Preliminary Statements

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

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Date

1/16/04

Kerry A. D'Ovidio

Kerry A. D'Ovidio

2. Identification of Time of Filing

This Information Disclosure Statement

- a. ☐ is filed within three months of the filing date of the application.
- b. ☐ is filed before the mailing date of a first Office Action on the merits.
- c. ☒ is filed before the mailing date of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- d. ☐ is filed after the period specified in 2(a), 2(b) or 2(c) above, but before the mailing date of a final action under 37 CFR 1.311. This statement includes a certification under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p).
- e. ☐ is filed after the mailing date of a final action or Notice of Allowance but before payment of the issue fee. This statement includes (i) a certification under 37 CFR 1.97(e), and (ii) the fee set forth in 37 CFR 1.17(p).

3. ☒ Certification under 37 CFR 1.97(e) and 1.704(d)
The undersigned attorney certifies

- a. ☒ that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
- c. ☐ The undersigned attorney certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign application patent office in a counterpart foreign application and was not received by any individual designated in 37 CFR 1.56(c) more than thirty (30) days prior to the filing of the statement.

- ☒ Newly Cited Information
A legible copy of the patents, publications or other information cited on the attached form PTO 1449 is enclosed. Enclosed is a copy of the International Search Report dated December 18, 2003 received on PCT/US02/29839.

- ☒ Previously Cited Information
All references cited on the International Search Report were previously cited on an IDS and 1449 Form filed on October 10, 2003 in the instant application. No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120.
Prior application is Application Number _____, filed on _____ of _____ for _____

- ☐ Concise Explanation
Documents cited above which are not in the English Language
- a. ☐ have been explained in the specification.
- b. ☐ have an abstract (or other concise explanation) in English enclosed or if readily available a translation into English of the document is enclosed.

- ☐ Fees
- ☐ Fee for filing under 37 CFR 1.97(c) or (d) Fee: \$0.00

Method of Payment of Fees:

Charge Deposit Account No. 07-1060 in the amount of \$0.00

A duplicate of this statement is enclosed.

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Diana M. Collazo
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